

PATENT

Case Docket No. PERMLT.019C1

Date: May 28, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : John Popovich, et al.
Appl. No. : 10/789,357
Filed : February 27, 2004
For : MOUNTING ARRANGEMENT
FOR LIGHT EMITTING
DIODES
Examiner : Unknown
Group Art Unit : Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

May 28, 2004

(Date)

Glen L. Nuttall, Reg. No. 46,188

TRANSMITTAL LETTER

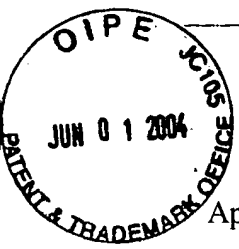
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 listing thirty (33) references, one of which is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Glen L. Nuttall
Registration No. 46,188
Attorney of Record
Customer No. 20,995
(949) 760-0404

**INFORMATION DISCLOSURE STATEMENT**

Applicant	:	John Popovich, et al.
App. No.	:	10/789,357
Filed	:	February 27, 2004
For	:	MOUNTING ARRANGEMENT FOR LIGHT EMITTING DIODES
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Group Art Unit	:	Unknown

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Déar Sir:

Enclosed is form PTO-1449 listing 33 references, 30 of which are of record in U.S. patent application No. 09/693,548, filed October 19, 2000, which is the parent of this continuation application, and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of most of the references are not submitted pursuant to 37 C.F.R. § 1.98(d) and the PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003.

Applicants are informed that only pages 1-5 of the document entitled "Thermal Management Considerations for Super Flux LEDs, Application Note 1149-4" were submitted in the parent application. The full article, including pages 1-11, is submitted herewith.

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This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 5/28/04

By: 
Glen L. Nuttall

Registration No. 46,188

Attorney of Record

Customer No. 20,995

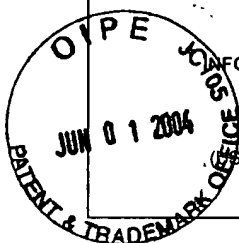
(949) 760-0404

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
PERMLT.019C1APPLICATION NO.
10/789,357INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
John Popovich, et al.FILING DATE
February 27, 2004GROUP
Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	3,936,686	02/03/76	Moore			
	2	4,143,411	03/06/79	Roberts			
	3	4,591,954	05/27/86	Kawamura, et al.			
	4	4,612,606	09/16/86	Roberts			
	5	4,720,773	01/19/88	Ahroni			
	6	4,761,720	08/02/88	Solow			
	7	4,855,882	08/08/89	Boss			
	8	4,908,743	03/13/90	Miller			
	9	5,103,382	04/07/92	Kondo, et al.			
	10	5,222,799	06/29/93	Sears, et al.			
	11	5,499,170	03/12/96	Gagne			
	12	5,607,227	03/04/97	Yasumoto, et al.			
	13	5,660,461	08/26/97	Ignatius, et al.			
	14	5,697,175	12/16/97	Schwartz			
	15	5,746,497	05/05/98	Machida			
	16	5,857,767	01/12/99	Hochstein			
	17	6,042,248	03/28/00	Hannah, et al.			
	18	6,045,240	04/04/00	Hochstein			
	19	6,244,728 B1	06/12/01	Cole, et al.			
	20	6,350,039 B1	02/26/02	Lee			
	21	6,396,466	05/28/02	Pross, et al.			
	22	6,428,189	08/06/02	Hochstein			
	23	6,505,956	01/14/03	Priddy, et al.			
	24	6,517,218	02/11/03	Hochstein			
	25	6,566,824	05/20/03	Panagotacos, et al.			

EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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	26	6,578,986	06/17/03	Swaris, et al.			
	27	6,582,100	06/24/03	Hochstein, et al.			
	28	6,582,103	06/24/03	Popovich, et al.			
	29	2001/0015891	08/23/01	Suzuki, et al.			

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)						
	30	HEWLETT PACKARD, Super Flux LED's, Pages 1-25, 1-26, and ii.					
	31	HEWLETT PACKARD, Angeline Wong, Subminiature InGaN Blue Lamps, Pages 1-2, August 4, 1998.					
	32	HEWLETT PACKARD, Thermal Management Considerations for Super Flux LEDs, Application Note 1149-4, Pages 1-11.					
	33	HEWLETT PACKARD, Subminiature High Performance AlInGaP LED Lamps, Technical Data, Pages 1-161 – 1-162.					

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<p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p>	